

Audit checklist Feed Sector (spot audit) (Recognition)

Audit details			
Scheme participant			
QS locations audited			
Additional location information, e.g. inspected production scope , coordinators or identification number			
Name of contact			
Spotaudit	X		
Random sample audit			
Audit of special purpose			
Parallel audit			
Date of audit (from)		Date of audit (until)	
Start of audit (hh:mm)		Ende of audit (hh:mm)	
Audit duration (hh:mm)			
Combined audit (norm/standard/programme)			
Certification body			
First name/surname of auditor			
Repeated D evaluation/general K.O.		Remark repeated D evaluation/general K.O.	
Comments			
Preliminary audit results		Number of agreed corrective actions	

Place, date

Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

Place, date

Signature of person responsible

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Premix production	70

Additional information - Feed sector

Information on the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000						
Certificate valid until							

Information on premix production

Premix production QS products (in tonnes):	
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Precise name of premix:

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Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope		Production number
	Compound feed production	71

Additional information - Feed sector

Information on the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		FSSC 22000		UFAS/ FEMAS
	Pastus+		FAMI-QS		GMP-Pharma		RCNA
Certificate valid until							

Information on compound feed production

Compound feed QS production (in tonnes):	
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Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope		Production number
	Feed material production	72

Additional information - Feed sector

Information on the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		GMP-Pharma		UFAS/ FEMAS
	Pastus+		FSSC 22000		EFISC-GTP		FAMI-QS
	RCNA						
Certificate valid until							

Information on feed material production

Feed material QS production (in tonnes) :	
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Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Private labelling	74

Additional information - Feed sector

Information on the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		GMP_Pharma		UFAS/ FEMAS
	Pastus+		FSSC 22000		RCNA		
Certificate valid until							

Information on private labelling

Private labelling

	Contract producer*
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* Please specify all locations (including locations numbers) visited within the context of contract producing below:

Name of the contract producer

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Tonnage private labelling of QS feed:	
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Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Feed trade	76

Additional information - Feed sector

Information on the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		UFAS/FEMAS		Pastus+
	FSSC 22000		FAMI-QS		EFISC-GTP		GMP-Pharma
	RCNA						
Certificate valid until							

Information trade

Trade with QS feed (in tonnes):		
Trade incl. storage		
Bulk goods		
Packed goods		
Delivery trade		
Bulk goods		
Packed goods		

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	production number
Additive production	700

Additional information - Feed sector

Information on the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:20018ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000		GMP-Pharma		RCNA		
Certificate valid until							

Information on additive production

Additive production QS products (in tonnes):	
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Precise name of the feed additives:

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Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Storage and transshipment (feed)	77

Additional information - Feed sector

Information to the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA				UFAS/ FEMAS
	Pastus+		FSSC 22000		RCNA		
Certificate valid until							

Information on storage and transshipment

Storage and transshipment	
	Storage and transshipment of QS feed as a service
	External storage facilities

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Road transport (feed)	78

Additional information - Feed sector

Information on the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ SA		FSSC 22000		UFAS/ FEMAS
	Pastus+		Qualimat Transport		RCNA		
Certificate valid until							

Information on road transport

Transport	
	Road transport (service)
	Road transport (own goods)

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope -Feed sector

Production scope	Production number
Rail, inland waterway and ocean ship transport (feed)	79

Additional information - Feed sector

Information on the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		FSSC 22000		UFAS/ FEMAS
	RCNA						
Certificate valid until							

Information on the Chartering of transport by road, railway, inland waterway or seagoing vessels

Transport (service)

	Chatering of transport by railway		Chatering of transport by inland waterway
	Chatering of transport by seagoing vessels		Chatering of transport by road

Company _____ Date _____

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production, food retail, QS-GAP and FIAS!) .</p>										
<p>2 General requirements</p>										
<p>2.1 General system requirements</p>										
2.1.1	1	Fp,T,S ,R,RW O	D=K.O.	Company data					X	
2.1.2	1	Fp,T,S ,R,RW O	D=K.O.	Official registration and authorisation *					X	
2.1.3	1	Fp,T,S ,R,RW O	D=K.O.	Incident and crisis management					X	
2.1.3	And	Fp,T,S ,R,RW O	D=K.O.	Incident and crisis management						
2.1.4	1	Fp,T,S ,R,RW O	D=K.O.	Traceability *					X	
2.1.4	And	Fp,T,S ,R,RW O	D=K.O.	Traceability *						
<p>2.2 Separate QM certification</p>										

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.2.1	1	Fp,T,S ,R,RW O		Recognition of a QM certification					X	
2.3 Structure of a Quality management system										
2.3.1	1	Fp,T,S ,R,RW O		Determination of scope					X	
2.3.2	1	Fp,T,S ,R,RW O		Justification for exclusions					X	
2.4 Requirements for the documentation and communication										
2.4.1	1	Fp,T,S ,R,RW O	D=K.O.	Documentation					X	
2.4.2	1	Fp,T,S ,R,RW O		Control of documents					X	
2.4.3	1	Fp,T,S ,R,RW O		Safe-keeping					X	
2.4.4	1	Fp,T,S ,R,RW O		Communication					X	
2.5 Leadership and planning										
2.5.1	1	Fp,T,S ,R,RW O		Leadership and commiment of top management					X	
2.5.2	1	Fp,T,S ,R,RW O		Quality policy					X	

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.5.3	1	Fp,T,S ,R,RW O		Quality objectives and planning to achieve them					X	
2.5.4	1	Fp,T,S ,R,RW O		Roles and responsibilities					X	
2.5.5	1	Fp,T,S ,R,RW O		Planning modifications					X	
2.6 Resource management										
2.6.1	1	Fp,T,S ,R,RW O		Provision of resources					X	
2.6.2	1	Fp,T,S ,R,RW O		Human resources					X	
2.6.3	1	Fp,T,S ,R,RW O		Infrastructure					X	
2.7 Product realisation and services										
2.7.1	1	Fp,T,S ,R,RW O		Definition and review of requirements for products and services					X	
2.7.2	1	Fp,T,S ,R,RW O		Process planning and presentation					X	
2.7.3	1	Fp,T,S ,R,RW O		Product realisation and provision of services					X	
2.7.4	1	Fp,T,S ,R,RW O		Communication with customers					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.7.5	1	Fp,T,S ,R,RW O		Development					X	
2.8 Monitoring, measurement and testing										
2.8.1	1	Fp,T,S ,R,RW O		Control of processes					X	
2.8.2	1	Fp,T,S ,R,RW O		Control of products					X	
2.9 Evaluation and improvement										
2.9.1	1	Fp,T,S ,R,RW O	D=K.O.	Supplier evaluation					X	
2.9.1	1	Fp,T,S ,R,RW O	D=K.O.	Supplier evaluation						
2.9.2	1	Fp,T,S ,R,RW O		Internal audits					X	
2.9.2	1	Fp,T,S ,R,RW O		Internal audits						
2.9.3	1	Fp,T,S ,R,RW O	D=K.O.	Management review					X	
2.9.3	1	Fp,T,S ,R,RW O	D=K.O.	Management evaluation						
2.9.4	1	Fp,T,S ,R,RW O		Customer complaints					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.9.5	1	Fp,T,S ,R,RW O	D=K.O.	Control of defective products and services *					X	
2.9.5	1	Fp,T,S ,R,RW O	D=K.O.	Control of faulty products *						
2.9.6	1	Fp,T,S ,R,RW O		Improvement					X	
2.10 HACCP concept										
2.10.1	1	Fp,T,S ,R,RW O	D=K.O.	HACCP concept					X	
2.10.1	1	Fp,T,S ,R,RW O	D=K.O.	HACCP concept						
2.10.2	1	Fp,T,S ,R,RW O		HACCP Team					X	
2.10.2	1	Fp,T,S ,R,RW O		HACCP Team						
2.10.3	1	Fp,T,S ,R,RW O		Flow charts					X	
2.10.3	1	Fp,T,S ,R,RW O		Flow charts						
2.10.4	1	Fp,T,S ,R,RW O		Hazard assessment					X	
2.10.4	1	Fp,T,S ,R,RW O		Hazard assessment						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.10.5	1	Fp,T,S ,R,RW O		Critical control points (CCP)					X	
2.10.5 Ar	1	Fp,T,S ,R,RW O		Critical control points (CCP)						
2.10.6	1	Fp,T,S ,R,RW O		Responsibilities					X	
2.10.6 Ar	1	Fp,T,S ,R,RW O		Responsibilities						
2.10.7	1	Fp,T,S ,R,RW O		Documentation					X	
2.10.7 Ar	1	Fp,T,S ,R,RW O		Documentation						
2.10.8	1	Fp,T,S ,R,RW O		HACCP verification					X	
2.10.8 Ar	1	Fp,T,S ,R,RW O		HACCP verification						
3 Requirements for feed production										
3.1 Product specifications for feed										
3.1.1	1	Fp	D=K.O.	Description of the feed produced *					X	
3.1.2	1	Fp		Information for customers					X	

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.1.3	1	Fp	D=K.O.	Production of feed materials: QS-list of feed materials					X	
3.2 Supply specifications										
3.2.1	1	Fp		Specification of raw materials					X	
3.3 Management of raw materials										
3.3.1	1	Fp		Supply of unprocessed primary agricultural products					X	
3.3.2	1	Fp		Supply of feed materials: Allowed lists					X	
3.3.3	1	Fp		Supply of products from vegetable oils and fats					X	
3.3.4	1	Fp		Supply and production of feed additives					X	
3.3.5	1	Fp	D=K.O.	Exclusion list					X	
3.3.6 Spot	1	Fp		Receiving raw materials						
3.4 Certification status of the suppliers										
3.4.1	1	Fp	D=K.O.	Eligibility of delivery of the suppliers *					X	

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.4.2	1	Fp	D=K.O.	Supply of non-certified suppliers: Gate-Keeper-Regulation					X	
3.5 Additional requirements for the supply of former foodstuffs from food manufacturers										
3.5.1	1	Fp		Quality questionnaire					X	
3.5.2	1	Fp		Process diagram					X	
3.5.3	1	Fp		Supplier audits					X	
3.5.4	1	Fp		Labelling and cleaning of collection containers					X	
3.5.5	1	Fp		Transport of raw materials					X	
3.5.6	1	Fp		Direct delivery to the customer					X	
3.5.7	1	Fp		Evaluation of the supplier audits, quality questionnaire and process diagram					X	
3.6 Operating equipment										
3.6.1 Spot	1	Fp		Layout of the operating facility						
3.6.2 Spot	1	Fp	D=K.O.	Guarantee of separation of products						

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.6.3 Spot	1	Fp	D=K.O.	Forbiddance of parallel production concerning special fats and oils						
3.7 Maintenance and servicing										
3.7.1	1	Fp		Maintenance programmes					X	
3.7.1 And	1	Fp		Maintenance programmes						
3.8 Cleaning										
3.8.1 Spot	1	Fp		Cleaning plans						
3.8.2 Spot	1	Fp	D=K.O.	Cleaning and disinfection agents						
3.8.3 Spot	1	Fp	D=K.O.	Cleaning work						
3.9 Pest monitoring										
3.9.1 Spot	1	Fp	D=K.O.	Pest prevention and monitoring						
3.9.2 Spot	1	Fp	D=K.O.	Pest control *						
3.9.3	1	Fp	D=K.O.	Stock protection *					X	

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.10 Regulations for company premises										
3.10.1 Spot	1	Fp		Access to company premises						
3.10.2 Spot	1	Fp		Regulations on food, drinks and smoking *						
3.10.3	1	Fp		Work by external companies *					X	
3.11 Glass and other fragile materials										
3.11.1 Spot	1	Fp	D=K.O.	Use of glass and other fragile materials						
3.12 Waste management										
3.12.1 Spot	1	Fp	D=K.O.	Waste management and disposal						
3.13 Use of water										
3.13.1	1	Fp	D=K.O.	Water quality					X	
3.13.1 Ar	1	Fp	D=K.O.	Water quality						
3.13.2	1	Fp		Water additives					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.14 Carryover and cross-contamination										
3.14.1	1	Fp	D=K.O.	Determination of possible carryovers					X	
3.14.2	1	Fp	D=K.O.	Cross-contamination					X	
3.14.2 Ar	1	Fp	D=K.O.	Cross-contamination						
3.15 Contamination										
3.15.1 Spot	1	Fp	D=K.O.	Prevention of contamination						
3.16 Technological additives (processing agents)										
3.16.1	1	Fp		Use of technological additives					X	
3.17 Storage										
3.17.1 Spot	1	Fp	D=K.O.	Storage management						
3.17.2 Spot	1	Fp		Quality maintenance						
3.17.3	1	Fp		Use of external storage facilities					X	

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.17.3 Ar	1	Fp		Use of external storage facilities						
3.18 Packaging										
3.18.1 Spot	1	Fp		Packaging						
3.19 Road transport										
3.19.1	1	Fp		Transport of packed products					X	
3.19.2	1	Fp		Transport of bulk products					X	
3.19.2 Ar	1	Fp		Transport of bulk products						
3.20 Commissioning of charterers for transport by road, rail or ship										
3.20.1	1	Fp		Commissioning a charterer					X	
3.20.1 Ar	1	Fp		Commissioning a charterer						
3.20.2	1	Fp		Transport of part cargoes					X	
3.21 Drying, ventilation and cooling										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.21.1 Spot	1	Fp	D=K.O.	Drying and preservation processes						
3.21.2 Spot	1	Fp		Controlling the moisture content and temperature						
3.22 Separation of foreign materials										
3.22.1 Spot	1	Fp		Procedures for separating foreign materials						
3.23 Declaration of feed and labelling as feed from the QS scheme										
3.23.1 Spot	1	Fp	D=K.O.	Article-related labelling as feed from the QS scheme *						
3.23.2 Spot	1	Fp		Use of QS certification mark						
3.24 Recording of location numbers (VVVO numbers)										
3.24.1	1	Fp		Assigning of compound feed deliveries (bulk products) to the location number (VVVO number)					X	
3.25 Feed monitoring										
3.25.1	1	Fp	D=K.O.	Creation of site-specific QS control plans *					X	
3.25.2	1	Fp	D=K.O.	Compliance with QS control plans					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.25.3	1	Fp		Sampling and sample shipping					X	
3.25.4	1	Fp		Analysis by QS-recognised laboratories					X	
3.25.5	1	Fp		Feed monitoring: Data entry					X	
3.25.5 Ar	1	Fp		Feed monitoring: Data entry						
3.25.6	1	Fp		Gate-Keeping: Data entry					X	
3.25.7	1	Fp	D=K.O.	Positive release sampling: Implementation					X	
3.25.7 Ar	1	Fp	D=K.O.	Positive release sampling: Implementation						
3.25.8	1	Fp		Positive release sampling: Data entry					X	
3.25.8 Ar	1	Fp		Positive release sampling: Data entry						
3.25.9	1	Fp	D=K.O.	Additional control plans: Implementation					X	
3.25.9 Ar	1	Fp	D=K.O.	Additional control plans: Implementation						
3.25.10	1	Fp		Additional control plans: Data entry					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.25.10	1	Fp		Additional control plans: Data entry						
3.25.11	1	Fp	D=K.O.	Ad-hoc monitoring plans: Implementation					X	
3.25.11	1	Fp	D=K.O.	Ad-hoc monitoring plans: Implementation						
3.25.12	1	Fp		Ad-hoc monitoring plans: Data entry					X	
3.25.12	1	Fp		Ad-hoc monitoring plans: Data entry						
3.26 Retained samples										
3.26.1 Spot	1	Fp	D=K.O.	Accumulation of retained samples						
3.27 Requirements for Private Labeller										
3.27.1 Spot	1	Fp	D=K.O.	Private Labeller: Description of the responsibilities *						
3.27.2 Spot	1	Fp	D=K.O.	Private Labeller: Eligibility of delivery of the contract manufacturer *						
4. Requirements for the trade of feed										
4.1 Supply specifications										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.1.1	1	T		Description of the feed *					X	
4.1.1	1	T		Description of the feed *						
4.1.1	And									
4.2 Supply of feed										
4.2.1	1	T		Supply of unprocessed primary agricultural products					X	
4.2.2	1	T		Supply of feed materials: Allowed lists					X	
4.2.3	1	T		Supply of products from vegetable oils and fats					X	
4.2.4	1	T	D=K.O.	Exclusion list					X	
4.2.4	1	T	D=K.O.	Exclusion list						
4.2.4	And									
4.2.5	1	T		Receiving feed					X	
4.2.5	1	T		Receiving feed						
4.2.5	And									
4.3 Certification status of suppliers										
4.3.1	1	T	D=K.O.	Eligibility of delivery of the suppliers *					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.3.1	1	T	D=K.O.	Eligibility of delivery of the suppliers *						
4.3.2	1	T	D=K.O.	Supply of non-certified suppliers: Gate-Keeper-Regulation					X	
4.3.2	1	T	D=K.O.	Supply of non-certified suppliers: Gate-Keeper-Regulation						
4.4 Storage										
4.4.1	1	T	D=K.O.	Storage facility environment						
4.4.2	1	T	D=K.O.	Storage management						
4.4.3	1	T	D=K.O.	Guarantee of separation of products						
4.4.4	1	T		Use of external storage facilities					X	
4.4.4	1	T		Use of external storage facilities						
4.5 Maintenance and servicing										
4.5.1	1	T		Maintenance programmes					X	
4.5.1	1	T		Maintenance programmes						

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.6 Cleaning										
4.6.1	1	T		Cleaning plans					X	
4.6.2 Spot	1	T	D=K.O.	Cleaning and disinfection agents						
4.6.3 Spot	1	T	D=K.O.	Cleaning work						
4.7 Pest monitoring										
4.7.1 Spot	1	T	D=K.O.	Pest prevention and monitoring						
4.7.2 Spot	1	T	D=K.O.	Pest control *						
4.7.3	1	T	D=K.O.	Stock protection *					X	
4.8 Regulations for company premises										
4.8.1 Spot	1	T		Access to company premises						
4.8.2 Spot	1	T		Regulations on food, drinks and smoking *						
4.8.3	1	T		Work by external companies *					X	

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.9 Glass and other fragile materials										
4.9.1 Spot	1	T	D=K.O.	Use of glass and other fragile materials						
4.10 Waste management										
4.10.1 Spot	1	T	D=K.O.	Waste management and disposal						
4.11 Use of water										
4.11.1	1	T	D=K.O.	Water quality					X	
4.11.1 Ar	1	T	D=K.O.	Water quality						
4.11.2	1	T		Water additives					X	
4.12 Contamination										
4.12.1 Spot	1	T	D=K.O.	Prevention of contamination						
4.13 Stock controls										
4.13.1 Spot	1	T		Quality maintenance						

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.14 Packaging										
4.14.1 Spot	1	T		Packaging						
4.15 Road transport										
4.15.1	1	T		Transport of packed products					X	
4.15.2	1	T		Transport of bulk products					X	
4.15.2 Ar	1	T		Transport of bulk products						
4.16 Commissioning of charterers for transport by road, rail or ship										
4.16.1	1	T		Commissioning a charterer					X	
4.16.1 Ar	1	T		Commissioning a charterer						
4.16.2	1	T		Transport of part cargoes					X	
4.17 Drying, ventilation and cooling										
4.17.1 Spot	1	T	D=K.O.	Drying and preservation processes						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.17.2 Spot	1	T		Controlling the moisture content and temperature						
4.18 Separation of foreign materials										
4.18.1 Spot	1	T		Procedures for separating foreign materials						
4.19 Declaration of feed and labelling as feed from the QS scheme										
4.19.1 Spot	1	T	D=K.O.	Article-related labelling as feed from the QS scheme *						
4.19.2 Spot	1	T		Use of QS certification mark						
4.20 Recording of location numbers (VVVO numbers)										
4.20.1	1	T		Assigning of compound feed deliveries (bulk products) to the location number (VVVO number)					X	
4.21 Feed Monitoring										
4.21.1	1	T	D=K.O.	Creation of site-specific QS control plans *					X	
4.21.2	1	T	D=K.O.	Compliance with QS control plans					X	
4.21.3	1	T		Sampling and sample shipping					X	

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.21.4	1	T		Analysis by QS-recognised laboratories					X	
4.21.5	1	T		Feed monitoring: Data entry					X	
4.21.5 Ar	1	T		Feed monitoring: Data entry						
4.21.6	1	T		Gate Keeping: Data entry					X	
4.21.7	1	T	D=K.O.	Positive release sampling: Implementation					X	
4.21.7 Ar	1	T	D=K.O.	Positive release sampling: Implementation						
4.21.8	1	T		Positive release sampling: Data entry					X	
4.21.8 Ar	1	T		Positive release sampling: Data entry						
4.21.9	1	T	D=K.O.	Additional control plans: Implementation					X	
4.21.9 Ar	1	T	D=K.O.	Additional control plans: Implementation						
4.21.10	1	T		Additional control plans: Data entry					X	
4.21.10 A	1	T		Additional control plans: Data entry						

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.21.11	1	T	D=K.O.	Ad-hoc monitoring plans: implementation					X	
4.21.11	1	T	D=K.O.	Ad-hoc monitoring plans: Implementation						
4.21.12	1	T		Ad-hoc monitoring plans: Data entry					X	
4.21.12	1	T		Ad-hoc monitoring plans: Data entry						
4.22 Retained samples										
4.22.1	1	T	D=K.O.	Accumulation of retained samples					X	
5 Requirements for the transport of feed by road										
5.1 Process descriptions										
5.1.1	1	R	D=K.O.	Determination of processes					X	
5.1.1	1	R	D=K.O.	Determination of processes						
5.1.2	1	R	D=K.O.	Subcontracting					X	
5.1.2	1	R	D=K.O.	Subcontracting						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.2 Labelling and traceability (documentation of transports)										
5.2.1 Spot	1	R		Labelling and use of load compartments						
5.2.2 Spot	1	R	D=K.O.	Documentation of journeys *						
5.3 Investigation of cleaning measures										
5.3.1 Spot	1	R	D=K.O.	Investigation of the necessary cleaning measures						
5.3.2	1	R		Release procedure after the transport of forbidden freights					X	
5.4 Cleaning										
5.4.1	1	R		Compilation of instructions					X	
5.4.2	1	R	D=K.O.	Cleaning work					X	
5.4.2	1	R	D=K.O.	Cleaning work						
5.4.3	1	R		Cleaning and disinfectants agents and water quality					X	
5.4.4 Spot	1	R		Documentation of cleaning measures						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.4.5	1	R		Effectiveness assessment					X	
5.5 Transport operations										
5.5.1	1	R		Inspections prior to loading					X	
5.5.2 Spot	1	R	D=K.O.	Quality maintenance of feed						
5.5.3	1	R		Biosecurity measures						
5.6 Contamination										
5.6.1	1	R	D=K.O.	Measures in the case of contamination					X	
5.6.1 And	1	R	D=K.O.	Measures in the case of contamination						
5.7 Vehicles with multiple load compartments										
5.7.1	1	R	D=K.O.	Requirements for vehicles with multiple load compartments					X	
5.8 Additional requirements for combination vehicles										
5.8.1	1	R	D=K.O.	Technical requirements for combination vehicles					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.8.2	1	R	D=K.O.	Inspections of combination vehicles					X	
5.8.3	1	R		Cleaning of combination vehicles					X	
5.8.4	1	R		Protective clothing on combination vehicles					X	
5.8.5	1	R	D=K.O.	Additional requirements for combination vehicles with a flexible separation					X	
5.9 Purchase or leasing of used freight holds										
5.9.1	1	R		Purchase or leasing of used freight holds					X	
5.9.1	1	R		Purchase or leasing of used freight holds						
6 Requirements for the charterer when transporting feed by road, railway, inland waterway or seagoing vessels										
6.1 Process descriptions										
6.1.1	1	RWO	D=K.O.	Determination of processes					X	
6.1.1	1	RWO	D=K.O.	Determination of processes						
6.2 Documentation										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
6.2.1	1	RWO		Chartering documents					X	
6.2.1 And	1	RWO		Chartering documents						
6.3 Load Compartment Inspections (LCI)										
6.3.1 Spot	1	RWO	D=K.O.	Commissioning a load compartment inspection *						
6.3.2 Spot	1	RWO		LCI reports *						
6.4 Additional requirements for charterers of inland waterway and road transport										
6.4.1	1	RWO	D=K.O.	Inland waterway transport: Commissioning a transporter					X	
6.4.2	1	RWO	D=K.O.	Road transport: Commissionig a transporter						
7 Requirements for the storage and transhipment of feed										
7.1 Process descriptions										
7.1.1	1	S	D=K.O.	Storage processes					X	
7.1.1 And	1	S	D=K.O.	Storage processes						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.2 Storage										
7.2.1 Spot	1	S	D=K.O.	Storage facility environment						
7.2.2 Spot	1	S	D=K.O.	Storage management						
7.2.3 Spot	1	S	D=K.O.	Guarantee of separation of products						
7.3 Maintenance and servicing										
7.3.1	1	S		Maintenance programmes					X	
7.3.1 And	1	S		Maintenance programmes						
7.4 Cleaning										
7.4.1 Spot	1	S		Cleaning plans						
7.4.2 Spot	1	S	D=K.O.	Cleaning and disinfection agents						
7.4.3 Spot	1	S	D=K.O.	Cleaning work						
7.5 Pest monitoring										

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.5.1 Spot	1	S	D=K.O.	Pest prevention and monitoring						
7.5.2 Spot	1	S	D=K.O.	Pest control *						
7.5.3	1	S	D=K.O.	Stock protection *					X	
7.6 Regulation for company premises										
7.6.1 Spot	1	S		Access to company premises						
7.6.2 Spot	1	S		Regulations on food, drinks and smoking *						
7.6.3	1	S		Work by external companies *					X	
7.7 Glass and other fragile materials										
7.7.1 Spot	1	S	D=K.O.	Use of glass and other fragile materials						
7.8 Waste management										
7.8.1 Spot	1	S	D=K.O.	Waste management and disposal						
7.9 Use of water										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.9.1	1	S	D=K.O.	Water quality					X	
7.9.1 And	1	S	D=K.O.	Water quality						
7.9.2	1	S		Water additives					X	
7.10 Contamination										
7.10.1	1	S	D=K.O.	Prevention of contamination					X	
7.11 Receiving of products and stock controls										
7.11.1	1	S		Receiving of products					X	
7.11.1 Ar	1	S		Receiving of products						
7.11.2 Spot	1	S		Stock controls						
7.12 Drying, ventilation and cooling										
7.12.1 Spot	1	S	D=K.O.	Drying and preservation processes						
7.12.2 Spot	1	S		Controlling the moisture content and temperature*						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.13 Separation of foreign materials										
7.13.1 Spot	1	S		Procedures for separating foreign materials						
7.14 Packaging										
7.14.1	1	S		Packaging					X	
7.15 Road transport										
7.15.1	1	S		Transport of packaged feed						
7.15.2	1	S		Transport of bulk feed						
I. VLOG add on module "Ohne Gentechnik"										
I.1 Requirement (only relevant for companies that registered for the VLOG-Additional Module)										
I.1.1 Spot	0	Fp,T,S ,R		Requirements "ohne Gentechnik"						
II. QS-Soyplus										
II. 2 Requirements QS-Soyplus										

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.1 General requirements										
II. 2.1.1	1	Fp,T		Responsibilities and human resources					X	
II. 2.1.2	1	Fp,T		Trainings					X	
II. 2.1.3	1	Fp,T	D=K.O.	Documentation					X	
II. 2.1.4	1	Fp,T		Commissioning of third parties					X	
II. 2.1.5	1	Fp,T		Supplier evaluation					X	
II. 2.1.6	1	Fp,T	D=K.O.	Traceability *					X	
II. 2.1.7	1	Fp,T		Incident management					X	
II. 2.2 Evaluations and improvement										
II. 2.2.1	1	Fp,T		Complaint management					X	
II. 2.2.2	1	Fp,T		Internal audits					X	
II. 2.2.3	1	Fp,T	D=K.O.	Management review					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.3 Certification status of suppliers										
II. 2.3.1	1	Fp,T	D=K.O.	QS-Soyplus eligibility of delivery of suppliers *					X	
II. 2.4 Purchase of QS-Soyplus compliant Soy (IP, SEG, MB, B&C)										
II. 2.4.1	1	Fp,T		Purchase of unprocessed soybeans					X	
II. 2.4.2	1	Fp,T		Procurement of processed products from soybeans (feed material)					X	
II. 2.4.3	1	Fp,T		Purchase of compound feed					X	
II. 2.5 Purchase of non-QS-Soyplus compliant Soy										
II. 2.5.1	1	Fp,T	D=K.O.	Purchase of credits *					X	
II. 2.6 Handling of soy										
II. 2.6.1	1	Fp,T		Verification of shipping papers for incoming products *					X	
II. 2.6.2	1	Fp,T		Labelling of outgoing products *					X	
II. 2.6.3	1	Fp,T	D=K.O.	Material accounting system					X	

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.6.4	1	Fp,T		Conversion factors					X	
II. 2.7 Centrally organized purchasing for companies with several sites										
II. 2.7.1	1	Fp,T		Requirements for centrally organized purchasing					X	
II. 2.7.2	1	Fp,T		Description of responsibilities					X	
II. 2.7.3	1	Fp,T		Information flow in case of changes regarding the QS-Soyplus eligibility of delivery					X	
II. 2.8 Identity Preserved (IP)										
II. 2.8.1	1	Fp,T		Separation of products/Identity preservation					X	
II. 2.8.2	1	Fp,T		Storage management					X	
II. 2.9 Segregation (SEG)										
II. 2.9.1	1	Fp,T		Separation of products/ Segregation					X	
II. 2.9.2	1	Fp,T		Storage management					X	
II. 2.10 Mass Balance (MB)										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.10.1	1	Fp,T		Material Accounting *					X	
II. 2.11 Book & Claim (B&C)										
II. 2.11.1	1	Fp,T		Material Accounting *					X	

Company _____ Date _____

Calculation of audit result

1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					
Sum of evaluations (excluding E evaluations)					

2. Calculation of the proportion of C and D evaluations*

Proportion of C evaluations		(Number of C evaluations / sum of evaluations) * 100
Proportion of D evaluations		(Number of D evaluations / sum of evaluations) * 100
Proportion of C and D evaluations		Proportion of C + proportion of D

3. Preliminary audit result

		Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result		
<p>*Status I: If the 5 % target is exceeded, status I will still be assigned if there are only 2 C-evaluations.</p> <p>**Status II: If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>		max. 5,0%	0,0%		QS-Status I*		
		max. 10,0%	max. 3,0%	max. 10%	QS-Status II**		
		max. 20%	max. 10%	max. 20%	QS-Status III		
<table border="1"> <tr> <td>Number of K.O.</td> <td></td> </tr> </table>		Number of K.O.		Percentages exceeded	Audit not passed.		
Number of K.O.							
		K.O.	Audit not passed.				
		General K.O./ repeated D evaluation	Audit not passed.				

Company:

Date:

Corrective actions report

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Place, date		Signature/s of auditor/s		Signature of person responsible		
Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope (T,RWO,Fp,S,R)	Deadline for correction
1						

Company: _____

Date: _____

Review of the implementation of corrective actions

Place, date _____

Signature/s of auditor/s _____

Serial no.	Implemented	Not implemented	Comments (if any)	Date
1				