Audit checklist Agriculture Poultry Production (Spotaudit)

Audit details				
Scheme participant				
QS locations audited				
Additional location information, e.g. **inspected production scope**, coordinators or identification number				
Name of contact				
Spotaudit	X			
Random sample audit				
Audit of special purpose				
Parallel audit				
Date of audit (from)			Date of audit (until)	
Start of audit (hh:mm)			Ende of audit (hh:mm)	
Audit duration (hh:mm)				
Combined audit (norm/standard/programme)				
Certification body				
First name/surename of auditor				
Repeated D evaluation/general K.O.		Remark repeated D evaluation/general K.O.		
Comments				
Preliminary audit results		**Number of agreed corrective actions**		

Place, date

Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.
I have received a copy of the audit report (at least front page) and of the corrective actions report.

Place, date

Signature of person responsible

Company details - Poultry farming

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number (VVVO-No.)	
Registered production scope no.	
QS identification number	
Name of person responsible	
Name of coordinator	

Scope - Poultry farming (Number of places = maximum number of places that can be used)

Production scope		**Production number**	**Number of places**
Poultry farming			
	Broiler production	3001	
	Turkey rearing	3002	
	Turkey production	3004	
	Peking duck rearing	3008	
	Peking duck production	3016	
	Laying hen farming	3032	

Additional information - Poultry farming

	yes	no
On farm mixer (production of own feed materials and compound feedstuff)		
Use of commercial mobile feed milling and mixing plants		
Transport (own animals, own vehicles)		
Transport less than 50 km		
Transport less than 65		

Transport over 65 km		

Company _____ Date _____

Requirement no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
*** = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production, food retail, QS-GAP and FIAS!) .**										
2				**General requirements**						
2.1				**General system requirements**						
2.1.1 SPOT	1			General company data						
3				**Poultry production requirements**						
3.1				**Traceability and labelling**						
3.1.1	1			Purchasing, incoming goods and services					X	
3.1.2	1		**D=K.O.**	Marking and identification of livestock					X	
3.1.3	1		**D=K.O.**	Origin and marketing *					X	
3.1.4 SPOT	1		**D=K.O.**	Stock records *						

Requirement no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.1.5	0			Livestock transport*					X	
3.2				**Husbandry, care and handling**						
3.2.1 SPOT	1		**D=K.O.**	Monitoring and care of livestock *						
3.2.2 SPOT	1		**D=K.O.**	General farming requirements *						
3.2.3 SPOT	1		**D=K.O.**	Handling sick and injured animals *						
3.2.4	1		**D=K.O.**	Shed floor					X	
3.2.5 SPOT	1			Shed climate and noise						
3.2.6 SPOT	1			Lighting *						
3.2.7 SPOT	1		**D=K.O.**	Space allowances *						
3.2.8 SPOT	1		**D=K.O.**	Alarm system *						
3.2.9	1			Emergency power supply					X	
3.2.10	1			Requirements on loading and unloading equipment for livestock transport					X	

Requirement no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.2.11				**Handling livestock during loading**						
3.2.11.1 SPOT	1		**D=K.O.**	Requirements for catching slaughter poultry						
3.2.11.2 SPOT	1		**D=K.O.**	Instructions for the preliminary destocking						
3.2.12	1		**D=K.O.**	Proof of competence and training					X	
3.3				**Feed and feeding**						
3.3.1 SPOT	1		**D=K.O.**	Feed supply *						
3.3.2 SPOT	1			Hygiene of feeding facilities						
3.3.3 SPOT	1			Handling and storage of feed						
3.3.4	1		**D=K.O.**	Feed procurement *					X	
3.3.5	1			Assignment of compound feed deliveries (bulk) to location numbers					X	
3.3.6	1			Feed production (on-farm mixer)					X	
3.3.7	1			Feed production in cooperation					X	

Requirement no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.3.8	1		**D=K.O.**	Use of service providers for feed production					X	
3.4				**Drinking water**						
3.4.1 SPOT	1		**D=K.O.**	Water supply *						
3.4.2 SPOT	1			Hygiene of drinking facilities						
3.5				**Animal health/medication**						
3.5.1	1			Care contract with farm veterinarian					X	
3.5.2	1		**D=K.O.**	Implementation of the stock care *					X	
3.5.3	1		**D=K.O.**	Procurement and application of medicines and vaccines					X	
3.5.4 SPOT	1		**D=K.O.**	Storage of medicines and vaccines *						
3.5.5	1		**D=K.O.**	Identification of treated livestock					X	
3.6				**Hygiene**						
3.6.1 SPOT	1			Buildings and equipment						

Requirement no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.6.2 SPOT	1			Hygiene on the farm						
3.6.3 SPOT	1			Handling litter and activity material						
3.6.4 SPOT	1			Carcass storage and pick-up						
3.6.5 SPOT	1			Pest monitoring and control						
3.6.6	1			Cleaning and disinfection measures					X	
3.7				**Monitoring programmes**						
3.7.1	1		**D=K.O.**	Salmonella monitoring					X	
3.7.2	1			Salmonella monitoring: measures towards the reduction of salmonella contamination *					X	
3.7.3	1		**D=K.O.**	Monitoring of diagnostic data from slaughter					X	
3.8				**Transport of own livestock**						
3.8.1	1			Requirements for the means of transportation					X	
3.8.2	1		**D=K.O.**	Available space during livestock transport					X	

Requirement no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.8.3	1			Cleaning and disinfection of means of transportation					X	
3.8.4	1			Delivery documents					X	
3.8.5	1		**D=K.O.**	Time intervals for feeding and watering as well as duration of transportation and resting times (for					X	
3.8.6	1			Transport papers (for livestock transport over 50 km)					X	
3.8.7	1		**D=K.O.**	Proof of qualification for drivers/carers (for livestock transport over 65 km)					X	
d III				**VLOG-Additional Module**						
d III.1				**Requirement (only relevant for locations registered for VLOG-Additional Module**						
d III.1.1 SPOT	0			Requirement "ohne Gentechnik"						

Company _____ Date: _____

Requirement no.	Factor	Filter[1]		Criterion/ requirement	A	B	C	D	E	Comments/corrective action number
				Regional						
*** = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment.**										
b I	**Regional**									
b I.1	**Requirements (only relevant for locations which have been regsitered in the Regionalfenster via their QS coordinator)**									
b I.1.1	1			Identification of regional goods					X	
b I.1.2	1			Marking of delivery documents					X	

Company _____ **Date** _____

Calculation of audit result

1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					

Sum of evaluations (excluding E evaluations)	

2. Calculation of the proportion of C and D evaluations*

Proportion of C evaluations		(Number of C evaluations / sum of evaluations)*100
Proportion of D evaluations		(Number of D evaluations / sum of evaluations)*100
Proportion of C and D evaluations		Proportion of C + proportion of D

3. Preliminary audit result

	Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result
	max. 5,0%	0,0%		**QS-Status I***
	max. 10,0%	max. 3,0%	max. 10%	**QS-Status II****
	max. 20%	max. 10%	max. 20%	**QS-Status III**
Percentages exceeded	**Audit not passed.**			
K.O.	**Audit not passed.**			
General K.O./ repeated D evaluation	**Audit not passed.**			

***Status I:** If the 5 % target is exceeded, status I will still be assigned if there are only 2 C-evaluations.
****Status II:** If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation

Number of K.O.	

Company: **Date:**

Corrective actions report

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.
Note: The correction deadline is a maximum of 28 days for all FIAS requirements and the documentation requirements: 2.1.1, 2.1.2, 3.4.1 and 3.9.5 (only valid for production, food retail, QS-GAP and FIAS!)

Place, date Signature/s of auditor/s Signature of person responsible

Serial no.	Require-ment No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope	Deadline for correction
1						

Company: **Date:**

Review of the implementation of corrective actions

Place, date Signature/s of auditor/s

Serial no.	Implemented	Not implemented	Comments (if any)	Date
1				