

### Audit checklist Agriculture Cattle (regular audit)

Audit details				
Scheme participant				
QS locations audited				
Additional location information, e.g. <b>inspected production scope</b> , coordinators or identification number				
Name of contact				
Regular audit	Initial audit		Follow-up audit	
Unannounced regular audit	Yes		No	
Parallel audit				
Date of audit (from)			Date of audit (until)	
Start of audit (hh:mm)			End of audit (hh:mm)	
Audit duration (hh:mm)				
Combined audit (norm/standard/programme)				
Certification body				
First name/surname of auditor				
Repeated D evaluation/general K.O.		Remark repeated D evaluation/general K.O.		
Comments				
<b>Preliminary audit result</b>			<b>Number of agreed corrective actions</b>	

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature of person responsible

### Company details - Cattle farming

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number (VVVO-No.)	
Registered production scope no.	
QS identification number	
Name of person responsible	
Name of coordinator	

**Scope - Cattle farming (#mandatory;##including outdoor access or open-front-stables: valid for production scope 1002; Number of places = maximum number of places that can be used)**

Production scope	Production number	Indoor <sup>#</sup>	Number of places	Outdoor <sup>##</sup>	Number of places
<b>Cattle farming</b>					
	Cattle production	1001			
	Calf production	1002			
	Calf breeding	1004			
	Dairy farming	1008			
	Suckling cow production	1016			

### Additional information - Cattle farming

	yes	no
On farm mixer (production of own feed materials and compound feedstuff)		
Use of commercial mobile feed milling and mixing plants		
Transport (own animals, own vehicles)		
Transport less than 50 km		
Transport less than 60 km		
Transport over 65 km		

Company \_\_\_\_\_ Date \_\_\_\_\_

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p><b>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production, food retail, QS-GAP and FIAS!) .</b></p>										
<b>2 General requirements</b>										
<b>2.1 General system requirements</b>										
2.1.1	1	C		General company data						
<b>a 3 Livestock production requirements</b>										
<b>a 3.1 Traceability and labelling</b>										
a 3.1.1	1	C		Purchasing, incoming goods and services						
a 3.1.2	1	C	<b>D=K.O.</b>	Marking and identification of livestock						
a 3.1.3	1	C	<b>D=K.O.</b>	Origin and marketing						
a 3.1.4	1	C	<b>D=K.O.</b>	Stock records						
a 3.1.5	1	C		Livestock transport						
<b>a 3.2 Husbandry, care and handling</b>										
a 3.2.1	1	C	<b>D=K.O.</b>	Monitoring and care of livestock *						
a 3.2.2	1	C	<b>D=K.O.</b>	General farming requirements *						


Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
a 3.2.3	1	C	<b>D=K.O.</b>	Handling sick and injured animals *						
a 3.2.4	1	C		Shed/sty floor *						
a 3.2.5	1	C		Shed/sty climate and noise						
a 3.2.6	1	C		Lighting *						
a 3.2.7	1	C	<b>D=K.O.</b>	Space allowances *						
a 3.2.8	1	C	<b>D=K.O.</b>	Alarm system *						
a 3.2.9	1	C		Emergency power supply						
a 3.2.10	1	C		Requirements for loading and unloading equipment for livestock transport						
a 3.2.11 R	1	C		Dehorning of calves*						
<b>a 3.3 Feed and feeding</b>										
a 3.3.1	1	C	<b>D=K.O.</b>	Feed supply *						
a 3.3.2	1	C		Hygiene of feeding facilities						
a 3.3.3	1	C		Handling and storage of feed						
a 3.3.4	1	C	<b>D=K.O.</b>	Feed procurement *						
a 3.3.5	1	C		Assignment of compound feed deliveries (bulk feed) to location numbers						
a 3.3.6	1	C		Feed production (on-farm mixer)						
a 3.3.7	1	C		Feed production in cooperation					X	

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
a 3.3.8	1	C	<b>D=K.O.</b>	Use of service providers for feed production						
<b>a 3.4 Drinking water</b>										
a 3.4.1	1	C	<b>D=K.O.</b>	Water supply *						
a 3.4.2	1	C		Hygiene of drinking facilities						
<b>a 3.5 Animal health/medication</b>										
a 3.5.1	1	C		Care contract with farm veterinarian						
a 3.5.2	1	C	<b>D=K.O.</b>	Implementation of the stock care *						
a 3.5.3	1	C	<b>D=K.O.</b>	Procurement and application of medicines and vaccines						
a 3.5.4	1	C	<b>D=K.O.</b>	Storage of medicines and vaccines *						
a 3.5.5	1	C	<b>D=K.O.</b>	Identification of treated livestock						
<b>a 3.6 Hygiene</b>										
a 3.6.1	1	C		Buildings and equipment						
a 3.6.2	1	C		Hygiene on the farm						
a 3.6.3 R	1	C		Handling of litter						
a 3.6.4	1	C		Carcass storage and pick-up *						
a 3.6.5	1	C		Pest monitoring and control *						
a 3.6.6	1	C		Risk assessment for biosafety					X	

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>a 3.7 Monitoring programmes</b>										
a 3.7.1 R	1	C		Fattening calves: residue control program *						
<b>a 3.8 Transport of own livestock</b>										
a 3.8.1	1	C		Requirements for the means of transportation					X	
a 3.8.2	1	C	<b>D=K.O.</b>	Available space during livestock transport *					X	
a 3.8.3	1	C		Cleaning and disinfection of means of transportation					X	
a 3.8.4	1	C		Delivery documents					X	
a 3.8.5	1	C	<b>D=K.O.</b>	Time intervals for feeding and watering as well as duration of transportation					X	
a 3.8.6	1	C		Transport papers (for livestock transport over 50 km)					X	
a 3.8.7	1	C	<b>D=K.O.</b>	Proof of qualification for drivers/carers (for livestock transport over 65 km)					X	
<b>g II VLOG-Additional Module ("Ohne Gentechnik")</b>										
<b>g II.1 Requirement (only relevant for locations registered for VLOG-Additional Module)</b>										
g II.1.1	0	C		Requirement "Ohne Gentechnik"						

Company \_\_\_\_\_

Date: \_\_\_\_\_

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D	E	Comments/corrective action number
										
* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment.										
<b>e I      Regionalfenster</b>										
<b>e I.1      Requirement (only relevant for locations registered for Regionalfenster via their coordinator)</b>										
e I.1.1	1			Identification of regional goods						
e I.1.2	1			Marking of delivery documents						

Company \_\_\_\_\_ Date \_\_\_\_\_

### Calculation of audit result

#### 1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					9
<b>Sum of evaluations (excluding E evaluations)</b>					

#### 2. Calculation of the proportion of C and D evaluations\*

<b>Proportion of C evaluations</b>		(Number of C evaluations / sum of evaluations ) * 100
<b>Proportion of D evaluations</b>		(Number of D evaluations / sum of evaluations ) * 100
<b>Proportion of C and D evaluations</b>		Proportion of C + proportion of D

#### 3. Preliminary audit result

		Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result
<p><b>*Status I:</b> If the 5 % target is exceeded, status I will still be assigned if there are only 2 C-evaluations.</p> <p><b>**Status II:</b> If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>		max. 5,0%	0,0%		<b>QS-Status I*</b>
		max. 10,0%	max. 3,0%	max. 10%	<b>QS-Status II**</b>
		max. 20%	max. 10%	max. 20%	<b>QS-Status III</b>
		Percentages exceeded	<b>Audit not passed.</b>		
<b>Number of K.O.</b>		K.O.	<b>Audit not passed.</b>		
		General K.O./ repeated D evaluation	<b>Audit not passed.</b>		

**Company:**

**Date:**

**Corrective actions report**

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Note: The correction deadline is a maximum of 28 days for all FIAS requirements and the documentation requirements: 2.1.1, 2.1.2, 3.4.1 and 3.9.5 (only valid for production, food retail, QS-GAP and FIAS!)

Place, date		Signature/s of auditor/s		Signature of person responsible		
Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope ©	Deadline for correction
1						

**Company:**

**Date:**

**Review of the implementation of corrective actions**

Place, date

Signature/s of auditor/s

<b>Serial no.</b>	<b>Implemented</b>	<b>Not implemented</b>	<b>Comments (if any)</b>	<b>Date</b>
1				