

## Audit checklist Agriculture Pig (Spotaudit)

| Audit details   |   |  |  |
|---|---|--|--|
| Scheme participant  |   |  |  |
| QS locations audited  |   |  |  |
| Additional location information, e.g. <b>inspected production scope</b> , coordinators or identification number |   |  |  |
| Name of contact   |   |  |  |
| Spotaudit   | X |  |  |
| Random sample audit   |   |  |  |
| Audit of special purpose  |   |  |  |
| Parallel audit  |   |  |  |
| Date of audit (from)  |   | Date of audit (until)                      |  |
| Start of audit (hh:mm)  |   | Ende of audit (hh:mm)                      |  |
| Audit duration (hh:mm)  |   |  |  |
| Combined audit (norm/standard/programme)  |   |  |  |
| Certification body  |   |  |  |
| First name/surname of auditor   |   |  |  |
| Repeated D evaluation/general K.O.  |   | Remark repeated D evaluation/general K.O.  |  |
| Comments  |   |  |  |
| <b>Preliminary audit results</b>  |   | <b>Number of agreed corrective actions</b> |  |

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature of person responsible

### Company details - Pig farming

|                                 |  |
|---------------------------------|--|
| Name of company                 |  |
| Street and house number         |  |
| Postal code and town            |  |
| Telephone/fax number            |  |
| Email address                   |  |
| QS location number (VVVO-No.)   |  |
| Registered production scope no. |  |
| QS identification number        |  |
| Name of person responsible      |  |
| Name of coordinator             |  |

**Scope - Pig farming ( #mandatory;##including outdoor access or open-front-stables: valid for production scopes 2001, 2002, 2008; Number of places = maximum number of places that can be used)**

| Production scope           | Production number | Indoor <sup>#</sup> | Number of places | Outdoor <sup>#</sup> ,## | Number of places |
|----------------------------|-------------------|---------------------|------------------|--------------------------|------------------|
| <b>Pig farming</b>         |                   |                     |                  |                          |                  |
| Pig production             | 2001              |                     |                  |                          |                  |
| Gilt / boar rearing        | 2002              |                     |                  |                          |                  |
| Sow and piglets production | 2004              |                     |                  |                          |                  |
| Piglet rearing             | 2008              |                     |                  |                          |                  |

### Additional information - Pig farming

|   | yes | no |
|---|-----|----|
| On farm mixer (production of own feed materials and compound feedstuff) |     |    |
| Use of commercial mobile feed milling and mixing plants                 |     |    |
| Transport (own animals, own vehicles)                                   |     |    |
| Transport less than 50 km   |     |    |
| Transport less than 65 km   |     |    |
| Transport over 65 km  |     |    |

Company \_\_\_\_\_ Date \_\_\_\_\_

| Require<br>ment no.  | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                  | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| <p><b>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production, food retail, QS-GAP and FIAS!) .</b></p> |        |                     |               |  |   |   |   |            |   |                                      |
| <b>2 General requirements</b>  |        |                     |               |  |   |   |   |            |   |                                      |
| <b>2.1 General system requirements</b>   |        |                     |               |  |   |   |   |            |   |                                      |
| 2.1.1<br>SPOT  | 1      | P                   |               | General company data                       |   |   |   |            |   |                                      |
| <b>2.2 Sc Counselling</b>  |        |                     |               |  |   |   |   |            |   |                                      |
| <b>a 3 Livestock production requirements</b>   |        |                     |               |  |   |   |   |            |   |                                      |
| <b>a 3.1 Traceability and labelling</b>  |        |                     |               |  |   |   |   |            |   |                                      |
| a 3.1.1  | 1      | P                   |               | Purchasing and incoming goods and services |   |   |   |            | X |                                      |
| a 3.1.2  | 1      | P                   | <b>D=K.O.</b> | Marking and identification of livestock    |   |   |   |            | X |                                      |
| a 3.1.3  | 1      | P                   | <b>D=K.O.</b> | Origin and marketing *                     |   |   |   |            | X |                                      |
| a 3.1.4  | 1      | P                   | <b>D=K.O.</b> | Stock records *                            |   |   |   |            | X |                                      |
| a 3.1.5  | 1      | P                   |               | Livestock transport *                      |   |   |   |            | X |                                      |
| <b>a 3.2 Husbandry, care and handling</b>  |        |                     |               |  |   |   |   |            |   |                                      |
| a 3.2.1<br>SPOT  | 1      | P                   | <b>D=K.O.</b> | Monitoring and care of livestock *         |   |   |   |            |   |                                      |


| Requirement no.               | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement  | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|-------------------------------|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| a 3.2.2<br>SPOT               | 1      | P                   | <b>D=K.O.</b> | General farming requirements *   |   |   |   |            |   |                                      |
| a 3.2.3<br>SPOT               | 1      | P                   | <b>D=K.O.</b> | Handling sick and injured animals *                                      |   |   |   |            |   |                                      |
| a 3.2.4<br>SPOT               | 1      | P                   |               | Shed/sty floor *   |   |   |   |            |   |                                      |
| a 3.2.5<br>SPOT               | 1      | P                   |               | Shed/sty climate and noise   |   |   |   |            |   |                                      |
| a 3.2.6<br>SPOT               | 1      | P                   |               | Lighting *   |   |   |   |            |   |                                      |
| a 3.2.7<br>SPOT               | 1      | P                   | <b>D=K.O.</b> | Space allowances *   |   |   |   |            |   |                                      |
| a 3.2.8<br>SPOT               | 1      | P                   | <b>D=K.O.</b> | Alarm system *   |   |   |   |            |   |                                      |
| a 3.2.9                       | 1      | P                   |               | Emergency power supply   |   |   |   |            | X |                                      |
| a 3.2.10<br>SPOT              | 1      | P                   |               | Requirements for loading and unloading equipment for livestock transport |   |   |   |            |   |                                      |
| a 3.2.11<br>Sc<br>SPOT        | 1      | P                   | <b>D=K.O.</b> | Activity material *  |   |   |   |            |   |                                      |
| a 3.2.12<br>Sc                | 1      | P                   | <b>D=K.O.</b> | Piglet castration  |   |   |   |            | X |                                      |
| <b>a 3.3 Feed and feeding</b> |        |                     |               |  |   |   |   |            |   |                                      |
| a 3.3.1<br>SPOT               | 1      | P                   | <b>D=K.O.</b> | Feed supply *  |   |   |   |            |   |                                      |
| a 3.3.2<br>SPOT               | 1      | P                   |               | Hygiene of feeding facilities  |   |   |   |            |   |                                      |
| a 3.3.3<br>SPOT               | 1      | P                   |               | Handling and storage of feed   |   |   |   |            |   |                                      |
| a 3.3.4                       | 1      | P                   | <b>D=K.O.</b> | Feed procurement *   |   |   |   |            | X |                                      |
| a 3.3.5                       | 1      | P                   |               | Assignment of compound feed deliveries (bulk feed) to location numbers   |   |   |   |            | X |                                      |

| Require<br>ment no.                   | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                             | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---------------------------------------|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| a 3.3.6                               | 1      | P                   |               | Feed production (on-farm mixer)                       |   |   |   |            | X |                                      |
| a 3.3.7                               | 1      | P                   |               | Feed production in cooperation                        |   |   |   |            | X |                                      |
| a 3.3.8                               | 1      | P                   | <b>D=K.O.</b> | Use of service providers for feed production          |   |   |   |            | X |                                      |
| <b>a 3.4 Drinking water</b>           |        |                     |               |   |   |   |   |            |   |                                      |
| a 3.4.1 SPOT                          | 1      | P                   | <b>D=K.O.</b> | Water supply *  |   |   |   |            |   |                                      |
| a 3.4.2 SPOT                          | 1      | P                   |               | Hygiene of drinking facilities                        |   |   |   |            |   |                                      |
| <b>a 3.5 Animal health/medication</b> |        |                     |               |   |   |   |   |            |   |                                      |
| a 3.5.1                               | 1      | P                   |               | Care contract with farm veterinarian                  |   |   |   |            | X |                                      |
| a 3.5.2                               | 1      | P                   | <b>D=K.O.</b> | Implementation of the stock care *                    |   |   |   |            | X |                                      |
| a 3.5.3                               | 1      | P                   | <b>D=K.O.</b> | Procurement and application of medicines and vaccines |   |   |   |            | X |                                      |
| a 3.5.4 SPOT                          | 1      | P                   | <b>D=K.O.</b> | Storage of medicines and vaccines *                   |   |   |   |            |   |                                      |
| a 3.5.5 SPOT                          | 1      | P                   | <b>D=K.O.</b> | Identification of treated livestock                   |   |   |   |            |   |                                      |
| <b>a 3.6 Hygiene</b>                  |        |                     |               |   |   |   |   |            |   |                                      |
| a 3.6.1 SPOT                          | 1      | P                   |               | Buildings and equipment                               |   |   |   |            |   |                                      |
| a 3.6.2 SPOT                          | 1      | P                   |               | Hygiene on the farm                                   |   |   |   |            |   |                                      |
| a 3.6.3 Sc SPOT                       | 1      | P                   |               | Handling litter and activity material                 |   |   |   |            |   |                                      |
| a 3.6.4 SPOT                          | 1      | P                   |               | Carcass storage and pick-up *                         |   |   |   |            |   |                                      |

| Requirement no.  | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement   | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| a 3.6.5<br>SPOT  | 1      | P                   |               | Pest monitoring and control<br>*  |   |   |   |            |   |                                      |
| a 3.6.6  | 0      | P                   |               | Risk assessment for<br>biosafety  |   |   |   |            | X |                                      |
| <b>a 3.7 Monitoring programmes</b>   |        |                     |               |   |   |   |   |            |   |                                      |
| a 3.7.1 Sc   | 1      | P                   |               | Salmonella monitoring   |   |   |   |            | X |                                      |
| <b>a 3.8 Transport of own livestock</b>  |        |                     |               |   |   |   |   |            |   |                                      |
| a 3.8.1<br>SPOT  | 1      | P                   |               | Requirements for the<br>means of transportation   |   |   |   |            |   |                                      |
| a 3.8.2  | 1      | P                   | <b>D=K.O.</b> | Available space during<br>livestock transport *   |   |   |   |            | X |                                      |
| a 3.8.3<br>SPOT  | 1      | P                   |               | Cleaning and disinfection of<br>means of transportation   |   |   |   |            |   |                                      |
| a 3.8.4  | 1      | P                   |               | Delivery documents  |   |   |   |            | X |                                      |
| a 3.8.5  | 1      | P                   | <b>D=K.O.</b> | Time intervals for feeding<br>and watering as well as<br>duration of transportation<br>and resting times (for<br>livestock transport over 50<br>km) |   |   |   |            | X |                                      |
| a 3.8.6  | 1      | P                   |               | Transport papers (for<br>livestock transport over 50<br>km)   |   |   |   |            | X |                                      |
| a 3.8.7  | 1      | P                   | <b>D=K.O.</b> | Proof of qualification for<br>drivers/carers (for livestock<br>transport over 65 km)  |   |   |   |            | X |                                      |
| <b>g II VLOG-Additional Module</b>   |        |                     |               |   |   |   |   |            |   |                                      |
| <b>g II.1 Requirement (only relevant for locations registered for VLOG-<br/>Additional Module)</b> |        |                     |               |   |   |   |   |            |   |                                      |
| g II.1.1<br>SPOT   | 0      | P                   |               | Requirement "Ohne<br>Gentechnik"  |   |   |   |            |   |                                      |

Company \_\_\_\_\_

Date: \_\_\_\_\_

| Requirement no.  | Factor | Filter <sup>1</sup> |  | Criterion/<br>requirement        | A | B | C | D | E | Comments/corrective<br>action number |
|--|--------|---------------------|--|----------------------------------|---|---|---|---|---|--------------------------------------|
|    |        |                     |  |                                  |   |   |   |   |   |                                      |
| * = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. |        |                     |  |                                  |   |   |   |   |   |                                      |
| <b>e I      Regionalfenster</b>  |        |                     |  |                                  |   |   |   |   |   |                                      |
| <b>e I.1      Requirement (only relevant for locations registered for Regionalfenster via their coordinator)</b>   |        |                     |  |                                  |   |   |   |   |   |                                      |
| e I.1.1  | 1      |                     |  | Identification of regional goods |   |   |   |   | X |                                      |
| e I.1.2  | 1      |                     |  | Marking of delivery documents    |   |   |   |   | X |                                      |

Company \_\_\_\_\_ Date \_\_\_\_\_

### Calculation of audit result

#### 1. Balance of subtotals

| Calculation   | A | B | C | D | E  |
|---|---|---|---|---|----|
| (1) Number of evaluations                           |   |   |   |   | 68 |
| <b>Sum of evaluations (excluding E evaluations)</b> |   |   |   |   |    |

#### 2. Calculation of the proportion of C and D evaluations\*

|  |  |   |
|--|--|---|
| <b>Proportion of C evaluations</b>       |  | (Number of C evaluations / sum of evaluations ) * 100 |
| <b>Proportion of D evaluations</b>       |  | (Number of D evaluations / sum of evaluations ) * 100 |
| <b>Proportion of C and D evaluations</b> |  | Proportion of C + proportion of D                     |

#### 3. Preliminary audit result

|   |  | Percentage of C evaluations | Percentage of D evaluations | Percentage of C+D evaluations | Audit result          |  |  |
|---|--|-----------------------------|-----------------------------|-------------------------------|-----------------------|--|--|
| <p><b>*Status I:</b> If the 5 % target is exceeded, status I will still be assigned if there are only 2 C-evaluations.</p> <p><b>**Status II:</b> If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p> |  | max. 5,0%                   | 0,0%                        |                               | <b>QS-Status I*</b>   |  |  |
|   |  | max. 10,0%                  | max. 3,0%                   | max. 10%                      | <b>QS-Status II**</b> |  |  |
|   |  | max. 20%                    | max. 10%                    | max. 20%                      | <b>QS-Status III</b>  |  |  |
| <table border="1"> <tr> <td><b>Number of K.O.</b></td> <td></td> </tr> </table>   |  | <b>Number of K.O.</b>       |                             | <b>Audit not passed.</b>      |                       |  |  |
| <b>Number of K.O.</b>   |  |                             |                             |                               |                       |  |  |
| Percentages exceeded  |  |                             |                             |                               |                       |  |  |
| <table border="1"> <tr> <td><b>Number of K.O.</b></td> <td></td> </tr> </table>   |  | <b>Number of K.O.</b>       |                             | <b>Audit not passed.</b>      |                       |  |  |
| <b>Number of K.O.</b>   |  |                             |                             |                               |                       |  |  |
| K.O.  |  |                             |                             |                               |                       |  |  |
| <table border="1"> <tr> <td><b>Number of K.O.</b></td> <td></td> </tr> </table>   |  | <b>Number of K.O.</b>       |                             | <b>Audit not passed.</b>      |                       |  |  |
| <b>Number of K.O.</b>   |  |                             |                             |                               |                       |  |  |
| General K.O./ repeated D evaluation   |  |                             |                             |                               |                       |  |  |

**Company:**

**Date:**

**Corrective actions report**

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

| Place, date |                 | Signature/s of auditor/s |                              | Signature of person responsible |           |                         |
|-------------|-----------------|--------------------------|------------------------------|---------------------------------|-----------|-------------------------|
| Serial no.  | Requirement No. | Evaluation (C, D/K.O.)   | Description of nonconformity | Agreed corrective actions       | Scope (C) | Deadline for correction |
| 1           |                 |                          |                              |                                 |           |                         |

**Company:**

**Date:**

**Review of the implementation of corrective actions**

Place, date

Signature/s of auditor/s

| Serial no. | Implemented | Not implemented | Comments (if any) | Date |
|------------|-------------|-----------------|-------------------|------|
| 1          |             |                 |                   |      |