

Audit checklist Checklist Livestock Transport (PA 52, 53, 904, 36-39) (regular audit)

Audit details				
Scheme participant				
QS locations audited				
Additional location information, e.g. inspected production scope , coordinators or identification number				
Name of contact				
Regular audit	Initial audit		Follow-up audit	
Unannounced regular audit	Yes		No	
Parallel audit				
Date of audit (from)			Date of audit (until)	
Start of audit (hh:mm)			End of audit (hh:mm)	
Audit duration (hh:mm)				
Combined audit (norm/standard/programme)				
Certification body				
First name/surname of auditor				
Repeated D evaluation/general K.O.		Remark repeated D evaluation/general K.O.		
Comments				
Preliminary audit result			Number of agreed corrective actions	

Place, date

Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

Place, date

Signature of person responsible

Company details - Livestock transport

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number (VVVO-No.)	
QS identification number	
Name of person responsible	
Name of coordinator (only for production scope 904)	

Scope - Livestock transport

Production scope	Production number	Number of vehicles
Stage agriculture/hatcheries		
Livestock transport	904	
Transport chicks own vehicles	52	
Transport chicks 1:1 contracted carriers	53	
Stage slaughtering/deboning		
Transport cattle/pigs own vehicles	36	
Transport poultry own vehicles	37	
Transport Cattle/ Pigs 1:1 contracted carriers	38	
Transport Poultry 1:1 contracted carriers	39	

Additional information - Livestock transport

Specifications on transport

Transport by (mandatory)	yes	no
Transport company (carrier)		
Livestock company with own transport		
Type of transport	yes	no
Transport less 65 km		
Transport over 65 km		

Company _____

Date _____

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production, food retail, QS-GAP and FIAS!) .</p>										
2 General requirements										
2.1 General system requirements										
2.1.1	1	,		General company data						
3 Requirements for the livestock transport										
3.1 Transport vehicles and transport containers										
3.1.1	1	,		Requirements for transport vehicles and transport containers					X	
3.1.2	1	,		Labelling means of transport and transport container					X	
3.2 Permission and transport planning										
3.2.1	1	,	D=K.O.	Licensing of transport companies (for livestock transport over 65 km) *						
3.2.2	1	,		Transport planning						
3.3 Transportability and animal marking										
3.3.1	1	,		Determination of transportability and transport bans					X	
3.3.2	1	,		Inspection of animal marking *						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.4 Transport practice										
3.4.1	1	,		Loading and unloading					X	
3.4.2	1	,	D=K.O.	Treatment of animals						
3.4.3	1	,	D=K.O.	Space allowances *						
3.4.4	1	,	D=K.O.	Intervals for feeding and watering as well as duration of transportation						
3.5 Cleaning and disinfection										
3.5.1	1	,		Means of transport					X	
3.5.2	1	,		Disinfection control book (for livestock transport to abattoir, livestock loading						
3.5.3	1	,		Dung, litter and feed remains *					X	
3.6 Personnel										
3.6.1	1	,	D=K.O.	Documentation proof of qualification (for livestock transport over 65 km) *						
3.7 Documentation										
3.7.1	1	,		Shipping documents						
3.7.2	1	,		Delivery papers *						
3.7.3	1	,	D=K.O.	Documentation licensing of road transport equipment (for long transportations)*						
3.7.4	1	,	D=K.O.	Driver's logbook (for long transportations)						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.7.5	1	,		Use of the QS certification mark for the livestock transport						

Company _____ Date _____

Calculation of audit result

1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					
Sum of evaluations (excluding E evaluations)					

2. Calculation of the proportion of C and D evaluations*

Proportion of C evaluations		(Number of C evaluations / sum of evaluations) * 100
Proportion of D evaluations		(Number of D evaluations / sum of evaluations) * 100
Proportion of C and D evaluations		Proportion of C + proportion of D

3. Preliminary audit result

	Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result
<p>*Status I: If the 5 % target is exceeded, status I will still be assigned if there are only 2 C-evaluations.</p> <p>**Status II: If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>	max. 5,0%	0,0%		QS-Status I*
	max. 10,0%	max. 3,0%	max. 10%	QS-Status II**
	max. 20%	max. 10%	max. 20%	QS-Status III
Number of K.O.	K.O.	Audit not passed.		
	General K.O./ repeated D evaluation	Audit not passed.		

Company:

Date:

Corrective actions report

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Place, date		Signature/s of auditor/s		Signature of person responsible		
Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope	Deadline for correction
1						

Company:

Date:

Review of the implementation of corrective actions

Place, date

Signature/s of auditor/s

Serial no.	Implemented	Not implemented	Comments (if any)	Date
1				