

### Audit checklist Feed Sector (multi-site certification)

Audit details				
Scheme participant				
QS locations audited				
Additional location information, e.g. <b>inspected production scope</b> , coordinators or identification number				
Name of contact				
Regular audit	Initial audit		Follow-up audit	
Unannounced regular audit	Yes		No	
Parallel audit				
Date of audit (from)			Date of audit (until)	
Start of audit (hh:mm)			End of audit (hh:mm)	
Audit duration (hh:mm)				
Combined audit (norm/standard/programme)				
Certification body				
First name/surname of auditor				
Repeated D evaluation/general K.O.		Remark repeated D evaluation/general K.O.		
Comments				
<b>Preliminary audit result</b>			<b>Number of agreed corrective actions</b>	

Place, date

Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

Place, date

Signature of person responsible

**Company details - Feed sector**

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

**Scope -Feed sector**

<b>Production scope</b>	<b>Production number</b>
Matrixcoordinator	770

**Additional information - Feed sector**

**Information on the quality management system**

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		UFAS/FEMAS		Pastus+
	FSSC 22000		FAMI-QS		EFISC-GTP		GMP-Pharma
	RCNA		Qualimat-Transport				
Certificate valid until							

**Company details - Feed sector**

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

**Scope - Feed sector**

<b>Production scope</b>	<b>Production number</b>
Feed trade	76

**Additional information - Feed sector**

**Information on the quality management system**

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		UFAS/FEMAS		Pastus+
	FSSC 22000		FAMI-QS		EFISC-GTP		GMP-Pharma
	RCNA						
Certificate valid until							

**Information trade**

<b>Trade with QS feed (in tonnes):</b>		
Trade incl. storage		
Bulk goods		
Packed goods		
Delivery trade		
Bulk goods		
Packed goods		

**Company details - Feed sector**

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

**Scope - Feed sector**

<b>Production scope</b>	<b>Production number</b>
Storage and transshipment (feed)	77

**Additional information - Feed sector**

**Information to the quality management system**

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA				UFAS/ FEMAS
	Pastus+		FSSC 22000		RCNA		
Certificate valid until							

**Information on storage and transshipment**

<b>Storage and transshipment</b>	
	Storage and transshipment of QS feed as a service
	External storage facilities

**Company details - Feed sector**

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

**Scope - Feed sector**

Production scope		Production number
	Compound feed production	71

**Additional information - Feed sector**

**Information on the quality management system**

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		FSSC 22000		UFAS/ FEMAS
	Pastus+		FAMI-QS		GMP-Pharma		RCNA
Certificate valid until							

**Information on compound feed production**

<b>Compound feed QS production (in tonnes):</b>	
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### Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

### Scope - Feed sector

Production scope	Production number
Road transport (feed)	78

### Additional information - Feed sector

#### Information on the quality management system

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ SA		FSSC 22000		UFAS/ FEMAS
	Pastus+		Qualimat Transport		RCNA		
Certificate valid until							

### Information on road transport

Transport	
	Road transport (service)
	Road transport (own goods)

**Company details - Feed sector**

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

**Scope - Feed sector**

<b>Production scope</b>	<b>Production number</b>
Feed material production	72

**Additional information - Feed sector**

**Information on the quality management system**

A valid certificate for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		GMP-Pharma		UFAS/ FEMAS
	Pastus+		FSSC 22000		EFISC-GTP		FAMI-QS
	RCNA						
	Certificate valid until						

**Information on feed material production**

<b>Feed material QS production (in tonnes) :</b>	
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**Company details - Feed sector**

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

**Scope -Feed sector**

Production scope	Production number
Rail, inland waterway and ocean ship transport (feed)	79

**Additional information - Feed sector**

**Information on the quality management system**

A valid certificate for a QS-recognised QM-System exists.						
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS	BRC
	FCA		GMP+ FSA		FSSC 22000	UFAS/ FEMAS
	RCNA					
Certificate valid until						

**Information on the Chartering of transport by road, railway, inland waterway or seagoing vessels**

Transport (service)			
	Chatering of transport by railway		Chatering of transport by inland waterway
	Chatering of transport by seagoing vessels		Chatering of transport by road

Company \_\_\_\_\_ Date \_\_\_\_\_

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p><b>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production, food retail, QS-GAP and FIAS!) .</b></p>										
<p><b>2 General requirements</b></p>										
<p><b>2.1 General system requirements</b></p>										
2.1.1	1	,Fp,T, S,R,R WO	<b>D=K.O.</b>	Company data						
2.1.2	1	,Fp,T, S,R,R WO	<b>D=K.O.</b>	Official registration and authorisation *						
2.1.3	1	,Fp,T, S,R,R WO	<b>D=K.O.</b>	Incident and crisis management						
2.1.4	1	,Fp,T, S,R,R WO	<b>D=K.O.</b>	Traceability *						
<p><b>2.2 Separate QM certification</b></p>										
2.2.1	1	,Fp,T, S,R,R WO		Recognition of a QM certification						

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>2.3 Structure of a Quality management system</b>										
2.3.1	1	,Fp,T, S,R,R WO		Determination of scope						
2.3.2	1	,Fp,T, S,R,R WO		Justification for exclusions						
<b>2.4 Requirements for the documentation and communication</b>										
2.4.1	1	,Fp,T, S,R,R WO	<b>D=K.O.</b>	Documentation						
2.4.2	1	,Fp,T, S,R,R WO		Control of documents						
2.4.3	1	,Fp,T, S,R,R WO		Safe-keeping						
2.4.4	1	,Fp,T, S,R,R WO		Communication						
<b>2.5 Leadership and planning</b>										
2.5.1	1	,Fp,T, S,R,R WO		Leadership and commitment of top management						
2.5.2	1	,Fp,T, S,R,R WO		Quality policy						

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.5.3	1	,Fp,T, S,R,R WO		Quality objectives and planning to achieve them						
2.5.4	1	,Fp,T, S,R,R WO		Roles and responsibilities						
2.5.5	1	,Fp,T, S,R,R WO		Planning modifications						
<b>2.6 Resource management</b>										
2.6.1	1	,Fp,T, S,R,R WO		Provision of resources						
2.6.2	1	,Fp,T, S,R,R WO		Human resources						
2.6.3	1	,Fp,T, S,R,R WO		Infrastructure						
<b>2.7 Product realisation and services</b>										
2.7.1	1	,Fp,T, S,R,R WO		Definition and review of requirements for products and services						
2.7.2	1	,Fp,T, S,R,R WO		Process planning and presentation						
2.7.3	1	,Fp,T, S,R,R WO		Product realisation and provision of services						

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.7.4	1	,Fp,T, S,R,R WO		Communication with customers						
2.7.5	1	,Fp,T, S,R,R WO		Development						
<b>2.8 Monitoring, measurement and testing</b>										
2.8.1	1	,Fp,T, S,R,R WO		Control of processes						
2.8.2	1	,Fp,T, S,R,R WO		Control of products						
<b>2.9 Evaluation and improvement</b>										
2.9.1	1	,Fp,T, S,R,R WO	<b>D=K.O.</b>	Supplier evaluation						
2.9.2	1	,Fp,T, S,R,R WO		Internal audits						
2.9.3	1	,Fp,T, S,R,R WO	<b>D=K.O.</b>	Management review						
2.9.4	1	,Fp,T, S,R,R WO		Customer complaints						
2.9.5	1	,Fp,T, S,R,R WO	<b>D=K.O.</b>	Control of defective products and services *						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.9.6	1	,Fp,T, S,R,R WO		Improvement						
<b>2.10 HACCP concept</b>										
2.10.1	1	,Fp,T, S,R,R WO	<b>D=K.O.</b>	HACCP concept						
2.10.2	1	,Fp,T, S,R,R WO		HACCP Team						
2.10.3	1	,Fp,T, S,R,R WO		Flow charts						
2.10.4	1	,Fp,T, S,R,R WO		Hazard assessment						
2.10.5	1	,Fp,T, S,R,R WO		Critical control points (CCP)						
2.10.6	1	,Fp,T, S,R,R WO		Responsibilities						
2.10.7	1	,Fp,T, S,R,R WO		Documentations						
2.10.8	1	,Fp,T, S,R,R WO		HACCP verification						
<b>2.11 Requirements for multi-site certification</b>										

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.11.1	1	,Fp,T, S,R,R WO		Requirements for the matrix coordinator						
2.11.2	1	,Fp,T, S,R,R WO		Requirements for the matrix locations						
<b>4. Requirements for the trade of feed</b>										
<b>4.1 Supply specifications</b>										
4.1.1	1	,T		Description of the feed *						
<b>4.2 Supply of feed</b>										
4.2.1	1	,T		Supply of unprocessed primary agricultural products						
4.2.2	1	,T		Supply of feed materials: Allowed lists						
4.2.3	1	,T		Supply of products from vegetable oils and fats						
4.2.4	1	,T	<b>D=K.O.</b>	Exclusion list						
4.2.5	1	,T		Receiving feed						

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>4.3 Certification status of the suppliers</b>										
4.3.1	1	,T	<b>D=K.O.</b>	Eligibility of delivery of the suppliers *						
4.3.2	1	,T	<b>D=K.O.</b>	Supply of non-certified suppliers: Gate-Keeper-Regulation						
<b>4.4 Storage</b>										
4.4.1	1	,T	<b>D=K.O.</b>	Storage facility environment						
4.4.2	1	,T	<b>D=K.O.</b>	Storage management						
4.4.3	1	,T	<b>D=K.O.</b>	Guarantee of separation of products						
4.4.4	1	,T		Use of external storage facilities						
<b>4.5 Maintenance and servicing</b>										
4.5.1	1	,T		Maintenance programmes						
<b>4.6 Cleaning</b>										

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.6.1	1	,T		Cleaning plans						
4.6.2	1	,T	<b>D=K.O.</b>	Cleaning and disinfection agents						
4.6.3	1	,T	<b>D=K.O.</b>	Cleaning work						
<b>4.7 Pest monitoring</b>										
4.7.1	1	,T	<b>D=K.O.</b>	Pest prevention and monitoring						
4.7.2	1	,T	<b>D=K.O.</b>	Pest control *						
4.7.3	1	,T	<b>D=K.O.</b>	Stock protection *						
<b>4.8 Regulations for company premises</b>										
4.8.1	1	,T		Access to company premises						
4.8.2	1	,T		Regulations on food, drinks and smoking *						
4.8.3	1	,T		Work by external companies *						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>4.9 Glass and other fragile materials</b>										
4.9.1	1	,T	<b>D=K.O.</b>	Use of glass and other fragile materials						
<b>4.10 Waste</b>										
4.10.1	1	,T	<b>D=K.O.</b>	Waste management and disposal						
<b>4.11 Use of water</b>										
4.11.1	1	,T	<b>D=K.O.</b>	Water quality						
4.11.2	1	,T		Water additives						
<b>4.12 Contamination</b>										
4.12.1	1	,T	<b>D=K.O.</b>	Prevention of contamination						
<b>4.13 Stock controls</b>										
4.13.1	1	,T		Quality maintenance						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>4.14 Packaging</b>										
4.14.1	1	,T		Packaging						
<b>4.15 Road transport</b>										
4.15.1	1	,T		Transport of packed products						
4.15.2	1	,T		Transport of bulk products						
<b>4.16 Commissioning of charterers for transport by road, rail or ship</b>										
4.16.1	1	,T		Commissioning a charterer						
4.16.2	1	,T		Transport of part cargoes						
<b>4.17 Drying, ventilation and cooling</b>										
4.17.1	1	,T	<b>D=K.O.</b>	Drying and preservation processes						
4.17.2	1	,T		Controlling the moisture content and temperature						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>4.18 Separation of foreign materials</b>										
4.18.1	1	,T		Procedures for separating foreign materials						
<b>4.19 Declaration of feed and labelling as feed from the QS scheme</b>										
4.19.1	1	,T	<b>D=K.O.</b>	Article-related labelling as feed from the QS scheme *						
4.19.2	1	,T		Use of QS certification mark						
<b>4.20 Recording of location numbers (VVVO numbers)</b>										
4.20.1	1	,T		Assigning of compound feed deliveries (bulk products) to the location number (VVVO number)						
<b>4.21 Feed Monitoring</b>										
4.21.1	1	,T	<b>D=K.O.</b>	Creation of site-specific QS control plans *						
4.21.2	1	,T	<b>D=K.O.</b>	Compliance with QS control plans						
4.21.3	1	,T		Sampling and sample shipping						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.21.4	1	,T		Analysis by QS-recognised laboratories						
4.21.5	1	,T		Feed monitoring: Data entry						
4.21.6	1	,T		Gate Keeping: Data entry						
4.21.7	1	,T	<b>D=K.O.</b>	Positive release sampling: Implementation						
4.21.8	1	,T		Positive release sampling: Data entry						
4.21.9	1	,T	<b>D=K.O.</b>	Additional control plans: Implementation						
4.21.10	1	,T		Additional control plans: Data entry						
4.21.11	1	,T	<b>D=K.O.</b>	Ad-hoc monitoring plans: Implementation						
4.21.12	1	,T		Ad-hoc monitoring plans: Data entry						
<b>4.22 Retained samples</b>										
4.22.1	1	,T	<b>D=K.O.</b>	Accumulation of retained samples						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>5 Requirements for the transport of feed by road</b>										
<b>5.1 Process descriptions</b>										
5.1.1	1	,R	<b>D=K.O.</b>	Determination of processes						
5.1.2	1	,R	<b>D=K.O.</b>	Subcontracting						
<b>5.2 Labelling and traceability (documentation of transports)</b>										
5.2.1	1	,R		Labelling and use of load compartments						
5.2.2	1	,R	<b>D=K.O.</b>	Documentation of journeys *						
<b>5.3 Investigation of cleaning measures</b>										
5.3.1	1	,R	<b>D=K.O.</b>	Investigation of the necessary cleaning measures						
5.3.2	1	,R		Release procedure after the transport of forbidden freights						
<b>5.4 Cleaning</b>										

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.4.1	1	,R		Compilation of instructions						
5.4.2	1	,R	<b>D=K.O.</b>	Cleaning work						
5.4.3	1	,R		Cleaning and disinfectants agents and water quality						
5.4.4	1	,R		Documentation of cleaning measures						
5.4.5	1	,R		Effectiveness assessment						
<b>5.5 Transport operations</b>										
5.5.1	1	,R		Inspections prior to loading						
5.5.2	1	,R	<b>D=K.O.</b>	Quality maintenance of feed						
5.5.3	1	,R		Biosecurity measures						
<b>5.6 Contamination</b>										
5.6.1	1	,R	<b>D=K.O.</b>	Measures in the case of contamination						

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>5.7 Vehicles with multiple load compartments</b>										
5.7.1	1	,R	<b>D=K.O.</b>	Requirements for vehicles with multiple load compartments						
<b>5.8 Additional requirements for combination vehicles</b>										
5.8.1	1	,R	<b>D=K.O.</b>	Technical requirements for combination vehicles						
5.8.2	1	,R	<b>D=K.O.</b>	Inspections of combination vehicles						
5.8.3	1	,R		Cleaning of combination vehicles						
5.8.4	1	,R		Protective clothing on combination vehicles						
5.8.5	1	,R	<b>D=K.O.</b>	Additional requirements for combination vehicles with a flexible separation						
<b>5.9 Purchase or leasing of used freight holds</b>										
5.9.1	1	,R		Purchase or leasing of used freight holds						
<b>6 Requirements for the charterer when transporting feed by road, railway, inland waterway or seagoing vessels</b>										

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>6.1 Process descriptions</b>										
6.1.1	1	,RWO	<b>D=K.O.</b>	Determination of processes						
<b>6.2 Documentations</b>										
6.2.1	1	,RWO		Chartering documents						
<b>6.3 Load Compartment Inspections (LCI)</b>										
6.3.1	1	,RWO	<b>D=K.O.</b>	Commissioning a load compartment inspection *						
6.3.2	1	,RWO		LCI reports *						
<b>6.4 Additional requirements for charterers of inland waterway and road transport</b>										
6.4.1	1	,RWO	<b>D=K.O.</b>	Inland waterway transport: Commissioning a transporter						
6.4.2	1	,RWO	<b>D=K.O.</b>	Road transport: Commissioning a transporter						
<b>7 Requirements for the storage and transhipment of feed</b>										

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>7.1 Process descriptions</b>										
7.1.1	1	,S	<b>D=K.O.</b>	Storage processes						
<b>7.2 Storage</b>										
7.2.1	1	,S	<b>D=K.O.</b>	Storage facility environment						
7.2.2	1	,S	<b>D=K.O.</b>	Storage management						
7.2.3	1	,S	<b>D=K.O.</b>	Guarantee of separation of products						
<b>7.3 Maintenance and servicing</b>										
7.3.1	1	,S		Maintenance programmes						
<b>7.4 Cleaning</b>										
7.4.1	1	,S		Cleaning plans						
7.4.2	1	,S	<b>D=K.O.</b>	Cleaning and disinfection agents						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.4.3	1	,S	<b>D=K.O.</b>	Cleaning work						
<b>7.5 Pest monitoring</b>										
7.5.1	1	,S	<b>D=K.O.</b>	Pest prevention and monitoring						
7.5.2	1	,S	<b>D=K.O.</b>	Pest control *						
7.5.3	1	,S	<b>D=K.O.</b>	Stock protection *						
<b>7.6 Regulations for company premises</b>										
7.6.1	1	,S		Access to company premises						
7.6.2	1	,S		Regulations on food, drinks and smoking *						
7.6.3	1	,S		Work by external companies *						
<b>7.7 Glass and other fragile materials</b>										
7.7.1	1	,S	<b>D=K.O.</b>	Use of glass and other fragile materials						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>7.8 Waste</b>										
7.8.1	1	,S	<b>D=K.O.</b>	Waste management and disposal						
<b>7.9 Use of water</b>										
7.9.1	1	,S	<b>D=K.O.</b>	Water quality						
7.9.2	1	,S		Water additives						
<b>7.10 Contamination</b>										
7.10.1	1	,S	<b>D=K.O.</b>	Prevention of contamination						
<b>7.11 Receiving of products and stock controls</b>										
7.11.1	1	,S		Receiving of products						
7.11.2	1	,S		Stock controls						
<b>7.12 Drying, ventilation and cooling</b>										

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.12.1	1	,S	<b>D=K.O.</b>	Drying and preservation processes						
7.12.2	1	,S		Controlling the moisture content and temperature*						
<b>7.13 Separation of foreign materials</b>										
7.13.1	1	,S		Procedures for separating foreign materials						
<b>7.14 Packaging</b>										
7.14.1	1	,S		Packaging						
<b>7.15 Road transport</b>										
7.15.1	1	,S		Transport of packaged feed						
7.15.2	1	,S		Transport of bulk feed						
<b>I. VLOG add on module "Ohne Gentechnik"</b>										
<b>I.1 Requirement (only relevant for companies that registered for the VLOG-Additional Module)</b>										

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
I.1.1	0	,Fp,T, S,R		Requirements "ohne Gentechnik"						
<b>II. QS-Soyplus</b>										
<b>II. 2 Anforderungen QS-Sojaplus</b>										
<b>II. 2.1 Allgemeine Anforderungen</b>										
II. 2.1.1	1	,T		Responsibilities and human resources						
II. 2.1.2	1	,T		Trainings						
II. 2.1.3	1	,T	<b>D=K.O.</b>	Documentation						
II. 2.1.4	1	,T		Commissioning of third parties						
II. 2.1.5	1	,T		Supplier evaluation						
II. 2.1.6	1	,T	<b>D=K.O.</b>	Traceability *						
II. 2.1.7	1	,T		Incident management						

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>II. 2.2 Evaluations and improvement</b>										
II. 2.2.1	1	,T		Complaint management						
II. 2.2.2	1	,T		Internal audits						
II. 2.2.3	1	,T	<b>D=K.O.</b>	Management review						
<b>II. 2.3 Certification status of suppliers</b>										
II. 2.3.1	1	,T	<b>D=K.O.</b>	QS-Soyplus eligibility of delivery of suppliers *						
<b>II. 2.4 Purchase of QS-Soyplus compliant Soy (IP, SEG, MB, B&amp;C)</b>										
II. 2.4.1	1	,T		Purchase of unprocessed soybeans						
II. 2.4.2	1	,T		Procurement of processed products from soybeans (feed material)						
II. 2.4.3	1	,T		Purchase of compound feed						
<b>II. 2.5 Purchase of non-QS-Soyplus compliant Soy</b>										

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.5.1	1	,T	<b>D=K.O.</b>	Purchase of credits *						
<b>II. 2.6 Handling of soy</b>										
II. 2.6.1	1	,T		Verification of shipping papers for incoming products *						
II. 2.6.2	1	,T		Labelling of outgoing products *						
II. 2.6.3	1	,T	<b>D=K.O.</b>	Material accounting system						
II. 2.6.4	1	,T		Conversion factors						
<b>II. 2.7 Centrally organized purchasing for companies with several sites</b>										
II. 2.7.1	1	,T		Requirements for centrally organized purchasing						
II. 2.7.2	1	,T		Description of responsibilities *						
II. 2.7.3	1	,T		Information flow in case of changes regarding the QS- Soyplus eligibility of delivery						
<b>II. 2.8 Identity Preserved (IP)</b>										

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.8.1	1	,T		Separation of products/Identity preservation						
II. 2.8.2	1	,T		Storage management						
<b>II. 2.9 Segregation (SEG)</b>										
II. 2.9.1	1	,T		Separation of products/ Segregation						
II. 2.9.2	1	,T		Storage management						
<b>II. 2.10 Mass Balance (MB)</b>										
II. 2.10.1	1	,T		Material Accounting *						
<b>II. 2.11 Book &amp; Claim (B&amp;C)</b>										
II. 2.11.1	1	,T		Material Accounting *						

Company \_\_\_\_\_ Date \_\_\_\_\_

### Calculation of audit result

#### 1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					
<b>Sum of evaluations (excluding E evaluations)</b>					

#### 2. Calculation of the proportion of C and D evaluations\*

<b>Proportion of C evaluations</b>		(Number of C evaluations / sum of evaluations )*100
<b>Proportion of D evaluations</b>		(Number of D evaluations / sum of evaluations )*100
<b>Proportion of C and D evaluations</b>		Proportion of C + proportion of D

#### 3. Preliminary audit result

	Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result
<p><b>*Status I:</b> If the 5 % target is exceeded, status I will still be assigned if there are only 2 C-evaluations.</p> <p><b>**Status II:</b> If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>	max. 5,0%	0,0%		<b>QS-Status I*</b>
	max. 10,0%	max. 3,0%	max. 10%	<b>QS-Status II**</b>
	max. 20%	max. 10%	max. 20%	<b>QS-Status III</b>
Number of K.O.	K.O.	<b>Audit not passed.</b>		
	General K.O./ repeated D evaluation	<b>Audit not passed.</b>		

**Company:**

**Date:**

**Corrective actions report**

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Place, date		Signature/s of auditor/s		Signature of person responsible		
Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope (T,RWO,Fp,S,R)	Deadline for correction
1						

**Company:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Review of the implementation of corrective actions**

Place, date \_\_\_\_\_

Signature/s of auditor/s \_\_\_\_\_

Serial no.	Implemented	Not implemented	Comments (if any)	Date
1				